



Intuitive proofs of termination

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Iscas, 2014-04-06

Plan

- Playing with multiset orderings
- First-order termination
- Higher-order typed λ -calculus
- Girard's proof for strong normalization
- Redex creation and strong normalization
- Finite developments
- Todo list

.. joint work with Giulio Manzonetto ..

今天，小菜一碟

Termination

By Rob Knies
August 16, 2006

“Turing proved that, in general, proving program termination is ‘undecidable,’ ” Cook says. “However, this result does not preclude the existence of future program-termination proof tools that work 99.9 percent of the time on programs written by humans. This is the sort of tool that we’re aiming to make.”

[Byron Cook](#)’ Terminator program

[[SLAM](#)/Static Driver Verifier ([SDV](#)) project]

Well-founded orderings

- no infinite strictly decreasing chains

$(\mathbf{N}, <)$

$(\mathbf{N} \times \mathbf{N}, <_{lex})$

$(\mathbf{N} \times \mathbf{N} \times \mathbf{N}, <_{lex})$

$(2^{\mathbf{N}} = \text{set}(\mathbf{N}), <_{mset})$

$(\mathbf{N}^{\mathbf{N}} = \text{multiset}(\mathbf{N}), <_{mset})$

Well-quasi orderings

- any infinite sequence $\langle x_1, x_2, \dots, x_i, \dots \rangle$ contains $x_i \leq x_j$ for some $i < j$

$(\mathbf{F}, =)$ where \mathbf{F} is finite set

Multiset ordering (1/2)

$\{ 3, 5, 7, 4, 1, 6, 5 \}$

$>_{mset}$

$\{ 3, 5, 7, 3, 2, 3, 1, 6, 5 \}$

$>_{mset}$

$\{ 3, 5, 7, 3, 2, 3, 1, 1, 4, 1, 5, 5 \}$

$>_{mset}$

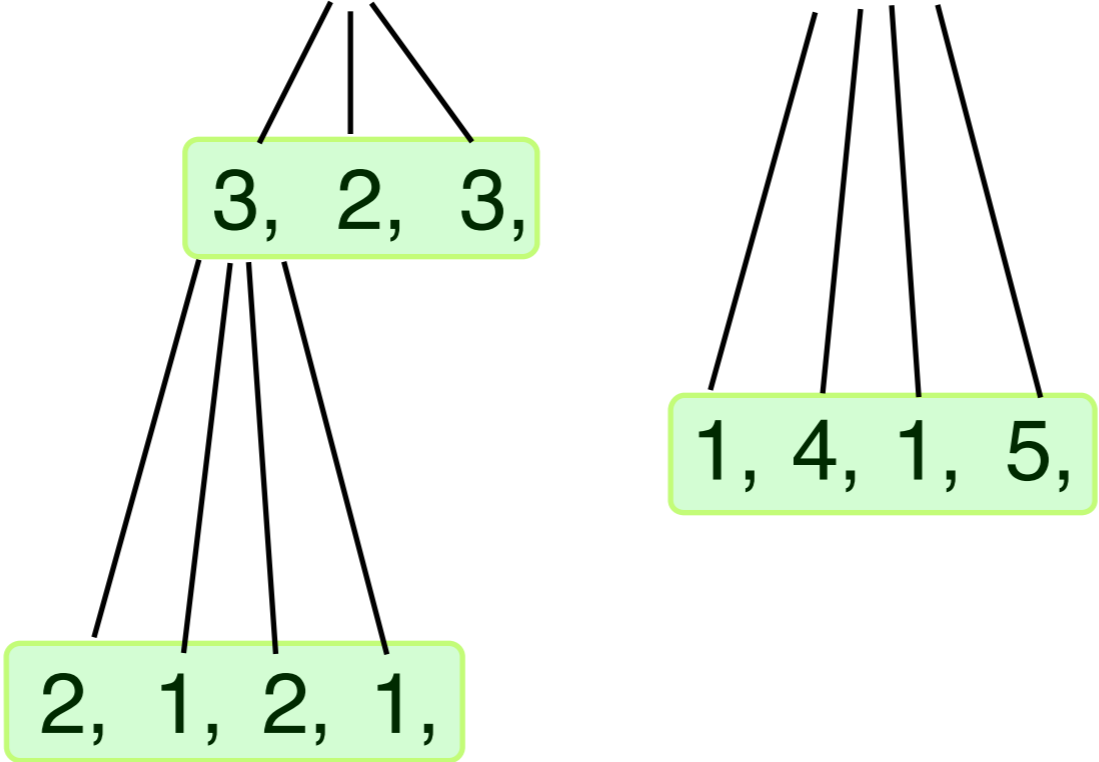
$\{ 3, 5, 7, 3, 2, 3, 1, 1, 4, 1, 5 \}$

$>_{mset}$

$\{ 3, 5, 7, 2, 1, 2, 1, 2, 3, 1, 1, 4, 1, 5 \}$

Multiset ordering (2/2)

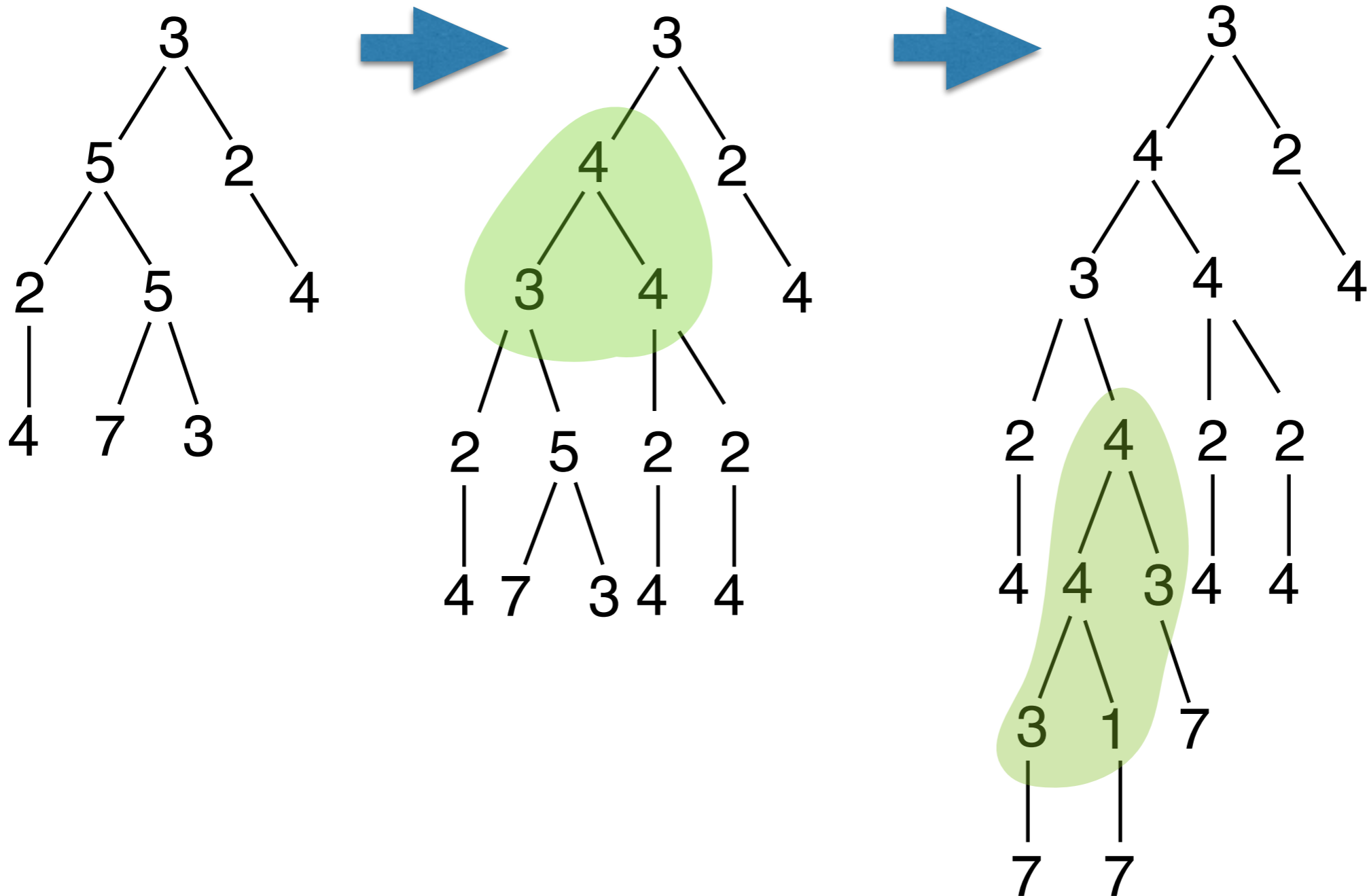
{ 3, 5, 7, 4, 1, 6, 5 }



- well founded by Koenig's lemma

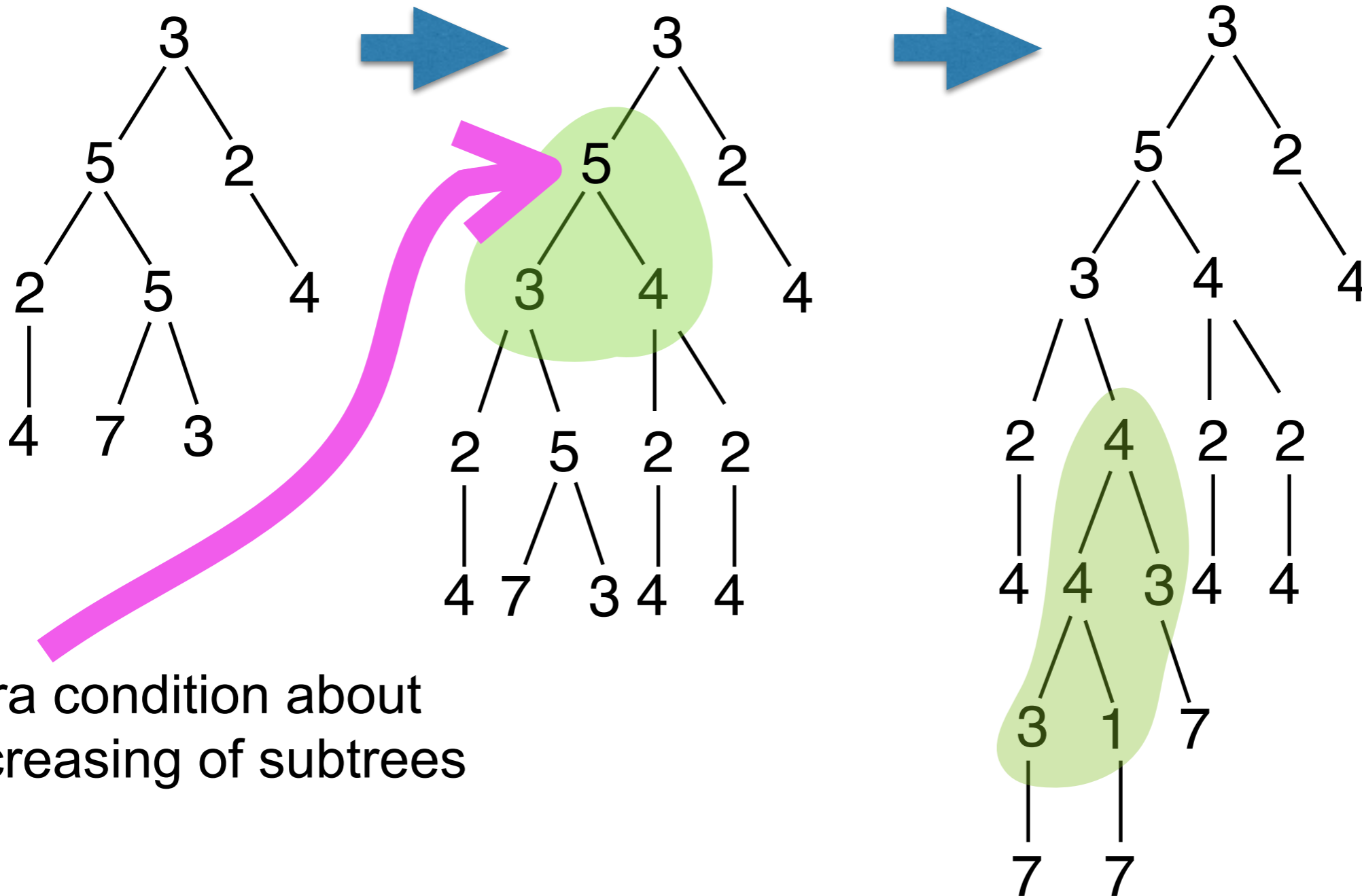
Recursive path orderings (1/5)

- we now order trees



Recursive path orderings (2/5)

- even with replacing nodes with same weight



extra condition about decreasing of subtrees

Recursive path orderings (3/5)

[Dershowitz, 81]

$$t = f(t_1, t_2, \dots, t_n) > g(u_1, u_2, \dots, u_m) = u$$

iff

$$(1) \#f > \#g \quad \text{and} \quad t > u_j \quad \text{for all } j$$

$$(2) t_i \geq u \quad \text{for some } i$$

$$(3) \#f = \#g \quad \text{and} \quad (t_1, t_2, \dots, t_n) >_{lex, mset} (u_1, u_2, \dots, u_m) \\ \text{and} \quad t > u_j \quad \text{for all } j$$

Recursive path orderings (4/5)

`fact (n) = if n = 0 then 1 else n * fact (n - 1)`

`#fact = 1`

`#if = #(-) = #(*) = #(=) = 0`

`ack (m, n) = if m = 0 then n+1 else
 if n = 0 then ack (m-1, 1) else
 ack (m-1, ack(m, n-1))`

`#ack = 1`

`#if = #(-) = #(=) = 0`

- termination whatever is the evaluation strategy

(Strong Normalization)

Recursive path orderings (5/5)

$\text{fact } (n) \rightarrow \text{if } n = 0 \text{ then } 1 \text{ else } n * \text{fact } (n - 1)$

$n+1 - 1 = n$

$n*m \rightarrow p$ where $p = m \times n$

$\text{if true then } P \text{ else } Q \rightarrow P$

$\text{if false then } P \text{ else } Q \rightarrow Q$

$n+1 = 0 \rightarrow \text{false}$

$0 = 0 \rightarrow \text{true}$

$\# \text{fact} = 2$

$\# \text{if} = \#(*) = \#(= 0) = 1$

$\#n = \# \text{true} = \# \text{false} = 0$

Recap

- replace **old** objects by finitely **new** smaller ones
- if none new, old ones finish by disappear
- we did not see **proofs**
- r.p.o is particular case of '**simplification** orderings'
- elegant proof uses **Kruskal's** embedding theorem

Higher order

Functional languages

- functions as arguments or result of functions
- the simplest paradigm is Church' λ -calculus

M, N	$::=$	x	variable
		$\lambda x.M$	abstraction
		MN	application

1st&2nd-order typing rules

(variable)
$$\frac{}{\Gamma, x:\tau \vdash x:\tau}$$

(application)
$$\frac{\Gamma \vdash M:\sigma \rightarrow \tau \quad \Gamma \vdash N:\sigma}{\Gamma \vdash MN:\tau}$$

(abstraction)
$$\frac{\Gamma, x:\sigma \vdash M:\tau}{\Gamma \vdash \lambda x.M:\sigma \rightarrow \tau}$$

(1st-order)

$$\frac{\Gamma \vdash M:\forall\alpha.\tau}{\Gamma \vdash M:\tau\{\alpha := \sigma\}}$$

$$\frac{\Gamma \vdash M:\tau \quad \alpha \notin \text{TVar}(\Gamma)}{\Gamma \vdash M:\forall\alpha.\tau}$$

(2nd-order)

Typed functional languages

- at 1st-order:

$$\lambda x.x : \alpha \rightarrow \alpha$$

$$\lambda x.\lambda y.x : \alpha \rightarrow \beta \rightarrow \alpha$$

$$\lambda f.\lambda g.\lambda x.g(f x) : (\alpha \rightarrow \beta) \rightarrow (\beta \rightarrow \gamma) \rightarrow \alpha \rightarrow \gamma$$

$\lambda x.x x$ is not typed

- at 2nd-order:

$$\lambda x.x x : (\forall \alpha. \alpha \rightarrow \alpha) \rightarrow (\forall \alpha. \alpha \rightarrow \alpha)$$

$(\lambda x.x x)(\lambda x.x x)$ is not typed

Higher-order rules

$$\text{(var)} \quad \frac{\Gamma \vdash A : s}{\Gamma, x:A \vdash x:A}$$

$$\text{(weak)} \quad \frac{\Gamma \vdash A : B \quad \Gamma \vdash C : s}{\Gamma, x:C \vdash A : B}$$

$$\text{(app)} \quad \frac{\Gamma \vdash M : \Pi x:A. B \quad \Gamma \vdash a:A}{\Gamma \vdash Ma : B\{x := a\}}$$
$$\text{(abs)} \quad \frac{\Gamma, x:A \vdash b:B \quad \Gamma \vdash \Pi x:A. B : s}{\Gamma \vdash \lambda x:A. b : \Pi x:A. B}$$

$$\text{(const)} \quad \vdash * : \square$$
$$\text{(prod)} \quad \frac{\Gamma \vdash A : s_1 \quad \Gamma, x:A \vdash B : s_2}{\Gamma \vdash \Pi x:A. B : s_2}$$

$$\text{(conv)} \quad \frac{\Gamma \vdash A : B \quad B =_{\beta} B' \quad \Gamma \vdash B' : s}{\Gamma \vdash A : B'}$$

Usual sorts for PTS

where
 (s_1, s_2)
 possible
 values
 are:

$\lambda \rightarrow$	$(*, *)$			
$\lambda 2$	$(*, *)$	$(\square, *)$		
λP	$(*, *)$		$(*, \square)$	
$\lambda P 2$	$(*, *)$	$(\square, *)$	$(*, \square)$	
$\lambda \underline{\omega}$	$(*, *)$			(\square, \square)
$\lambda \omega$	$(*, *)$	$(\square, *)$		(\square, \square)
$\lambda P \underline{\omega}$	$(*, *)$		$(*, \square)$	(\square, \square)
$\lambda P \omega = \lambda C$	$(*, *)$	$(\square, *)$	$(*, \square)$	(\square, \square)

and usual abbrevs

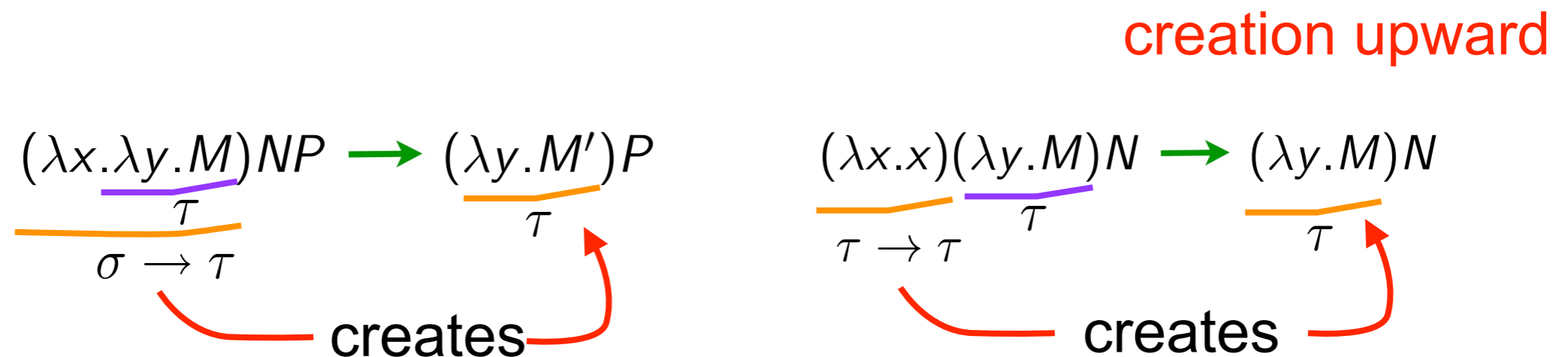
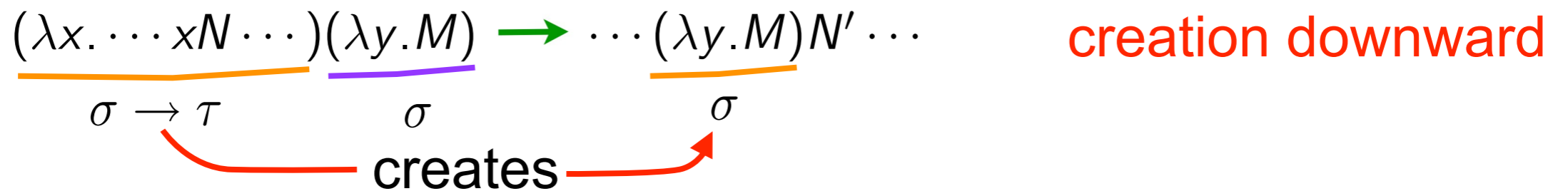
$$\forall \alpha. A \equiv \Pi \alpha: * . A$$

$$\Lambda \alpha. M \equiv \lambda \alpha: * . M$$

$$A \rightarrow B \equiv \Pi x:A. B \quad \text{when } x \notin \text{FVar}(B)$$

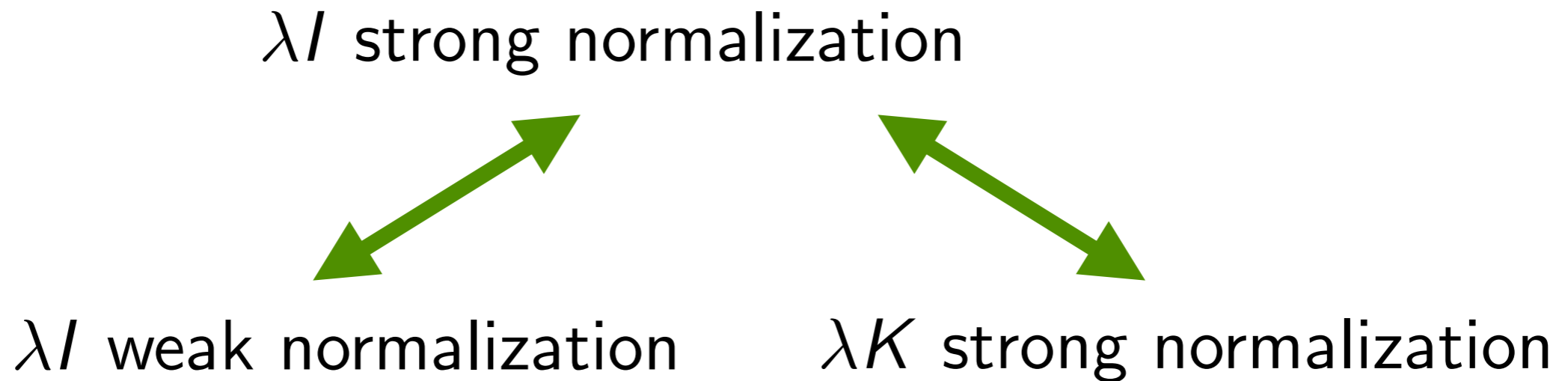
Strong Normalisation (1st order)

- why 1st-order typed calculus normalizes ?



- degree of a redex is type of its function part
- degree strictly decreases with creation

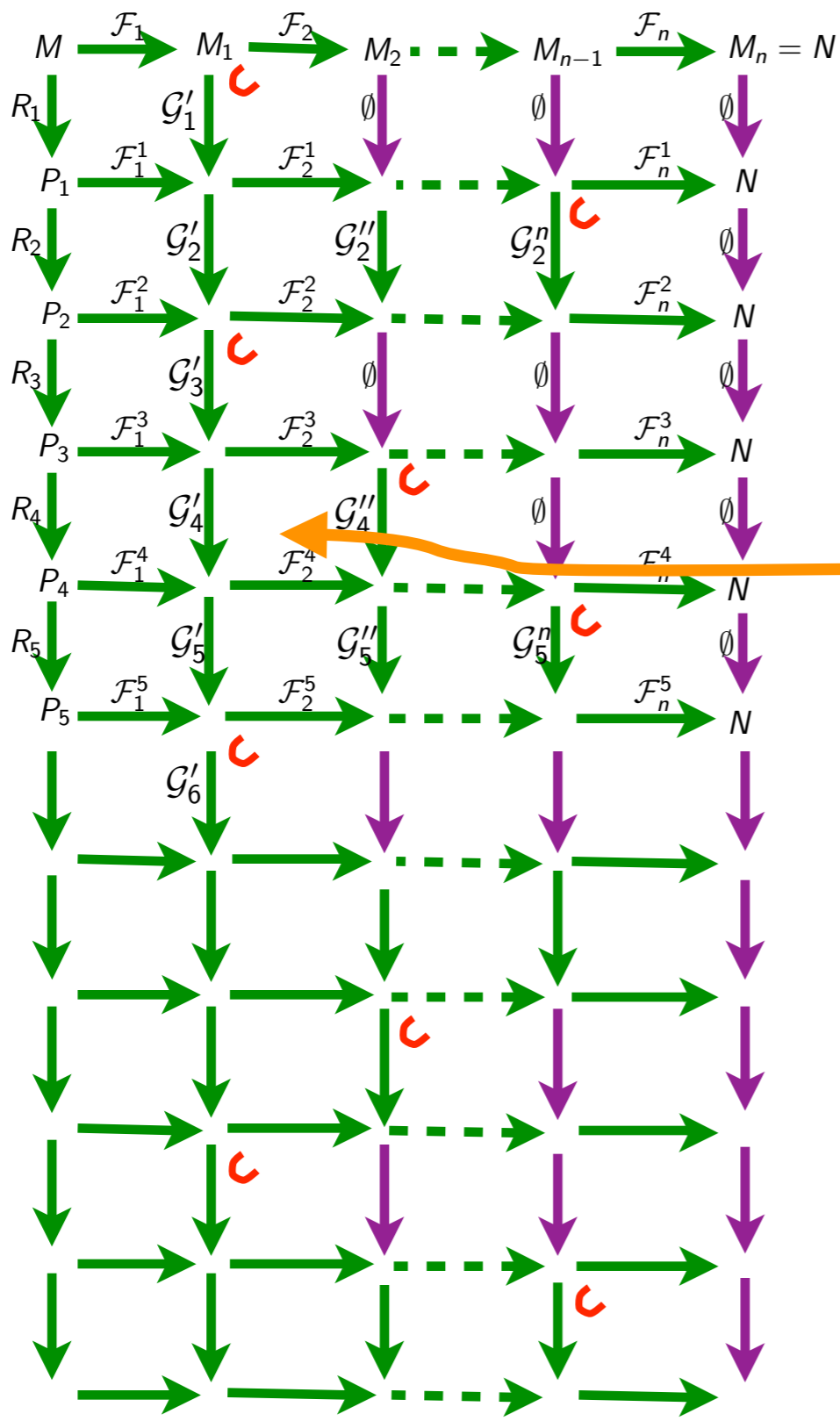
Weak vs Strong Normalisation



- true in any PTS lambda system

[conjecture Barendregt / Geuvers]

Weak vs Strong Normalisation



any reduction to nf

parallel moves

λ -calculus

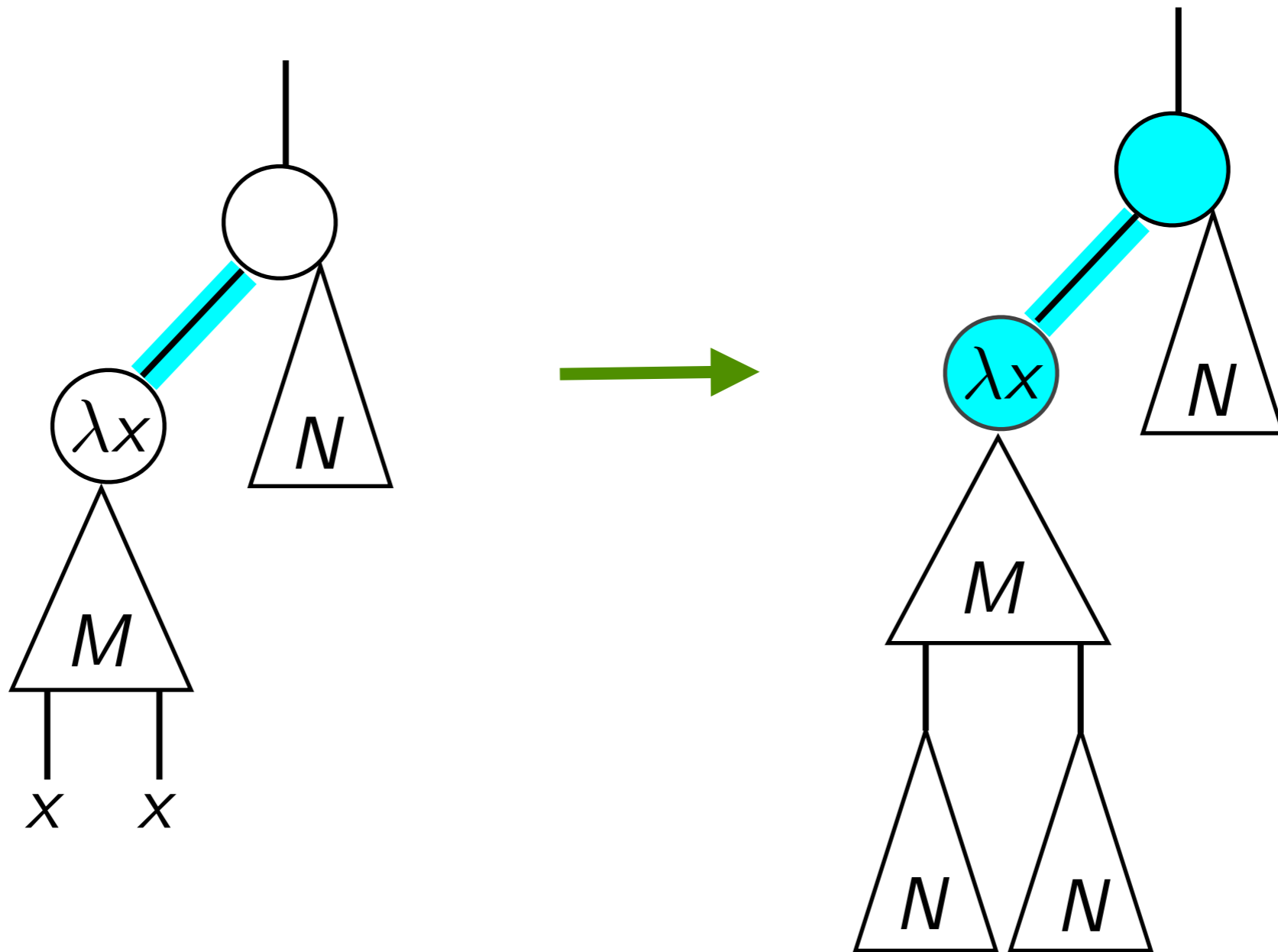
finite developments

Weak normalization in lambda-I

- innermost reduction clearly terminates (in lambda-K fst order)
(take multiset ordering on degrees of redexes)
- weak implies strong in lambda-I
(take same argument as for standardization proof: finite developments + cube lemma)

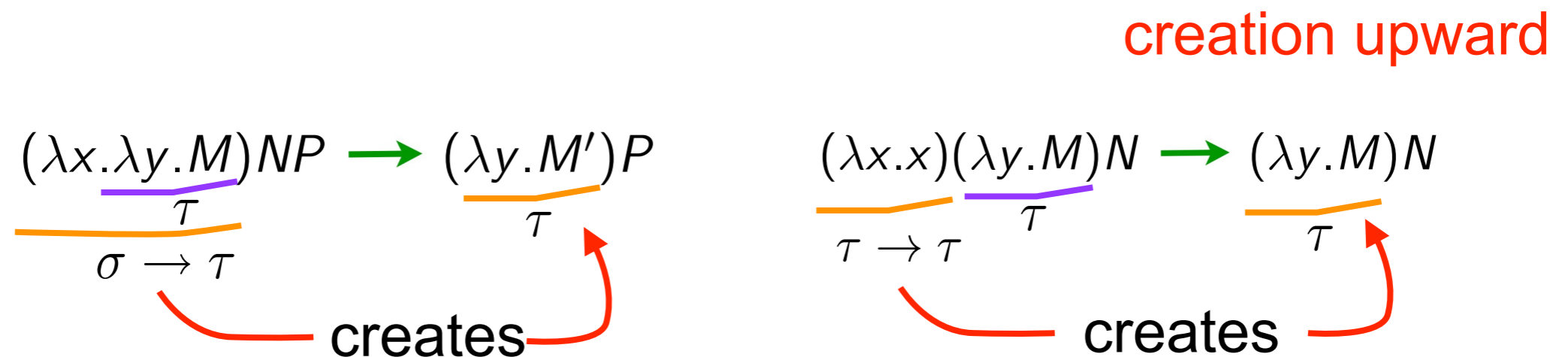
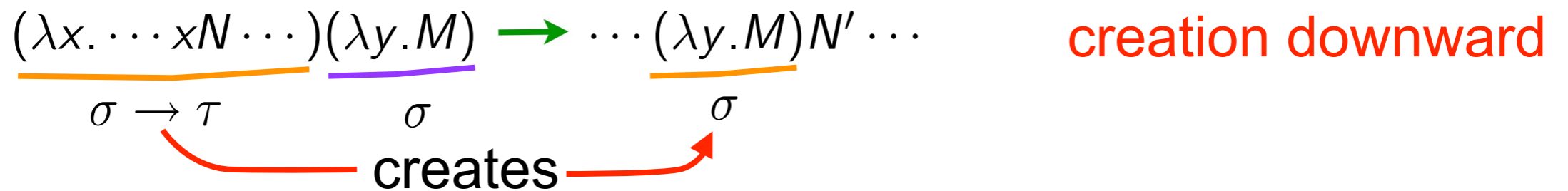
Weak vs Strong Normalisation

- Nederpelt[72], Klop[80], Sorensen[?]



Strong Normalisation (1st order)

- why typed 1st-order calculus normalizes ?



- degree of a redex is type of its function part
- degree strictly decreases with creation

Strong Normalisation(2nd order)

- why system F normalizes ?

$$\begin{array}{c}
 (\lambda x. \dots xx \dots)(\lambda y. y) \xrightarrow{\text{green}} \dots (\lambda y. y)(\lambda y. y) \dots \\
 \hline \tau \rightarrow \tau \quad \tau \quad \tau \rightarrow \tau \\
 \text{creates}
 \end{array}$$

where
 $\tau = \forall \alpha. \alpha \rightarrow \alpha$

$$\begin{array}{c}
 (\lambda x. \lambda y. M)NP \xrightarrow{\text{green}} (\lambda y. M')P \\
 \hline \tau \quad \tau \\
 \sigma \rightarrow \tau \quad \text{creates}
 \end{array}$$

Strong Normalisation(2nd order)

- looking more closely at system F

$$(\lambda x. \dots xx \dots)(\lambda y. y) \xrightarrow{\text{green}} \dots (\lambda y. y)(\lambda y. y) \dots$$

$$\frac{}{\tau \rightarrow \tau}$$

$$\tau$$

$$\frac{}{\tau \rightarrow \tau}$$

where

$$\tau = \forall \alpha. \alpha \rightarrow \alpha$$

creates

2nd order

$$(\lambda x. \dots xx \dots)(\lambda y. y) \xrightarrow{\text{green}} \dots (\lambda y. y)(\lambda y. y) \dots$$

also typable with

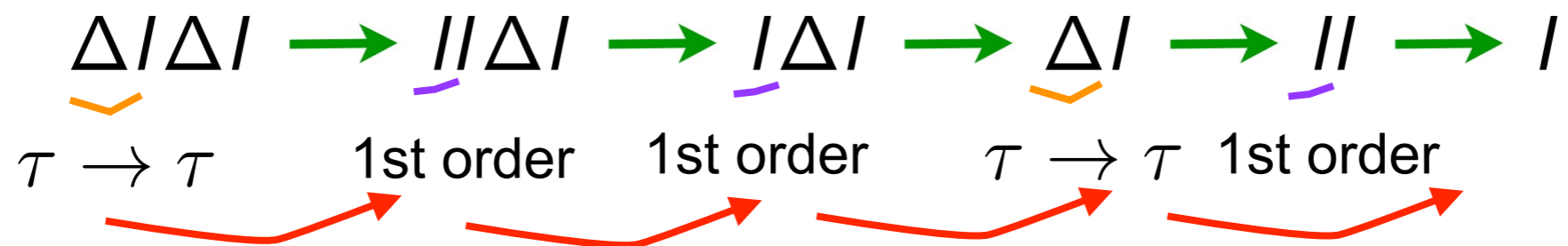
$$\forall \alpha. \tau' \rightarrow \tau'$$

where

$$\tau' = \alpha \rightarrow \alpha$$

fst order !

Strong Normalisation(2nd order)



where

$$\tau = \forall \alpha . \alpha \rightarrow \alpha$$

$$\Delta = \lambda x . x x$$

$$I = \lambda x . x$$

Semantics

Girard - Tait - Krivine proof

• **Definition (saturated sets)** $X \in \text{SAT}$ iff

(0) $X \subset \mathcal{SN}$

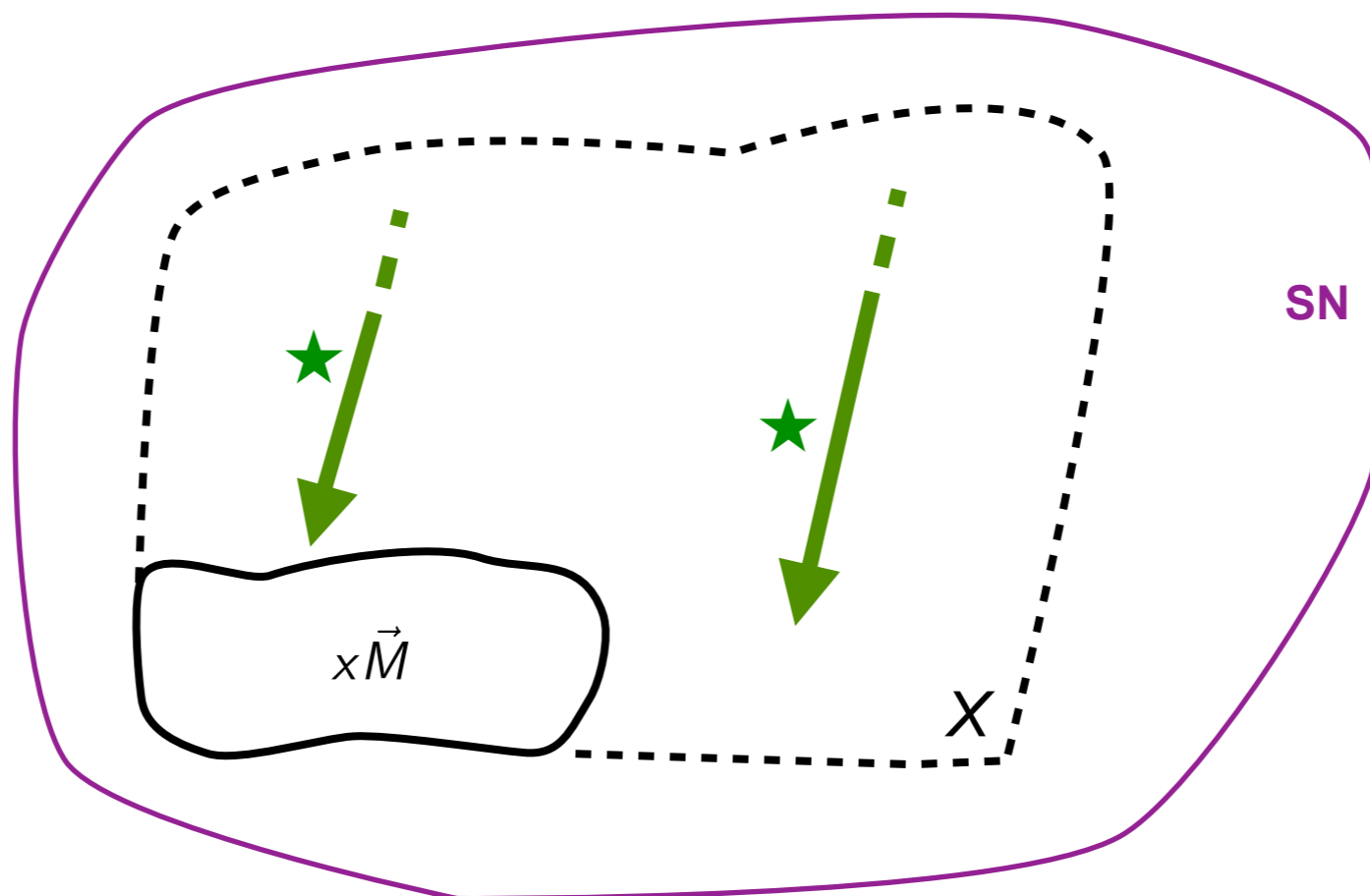
(1) $x\vec{M} \in X$

(2) $M\{x := N\}\vec{P} \in X$ implies $(\lambda x.M)N\vec{P} \in X$

(1) = non emptiness

(2) = closed by SN-head-beta-expansion

A saturated set



Girard - Tait - Krivine proof

• **Definition (saturated sets)** $X \in \text{SAT}$ iff

(0) $X \subset \mathcal{SN}$

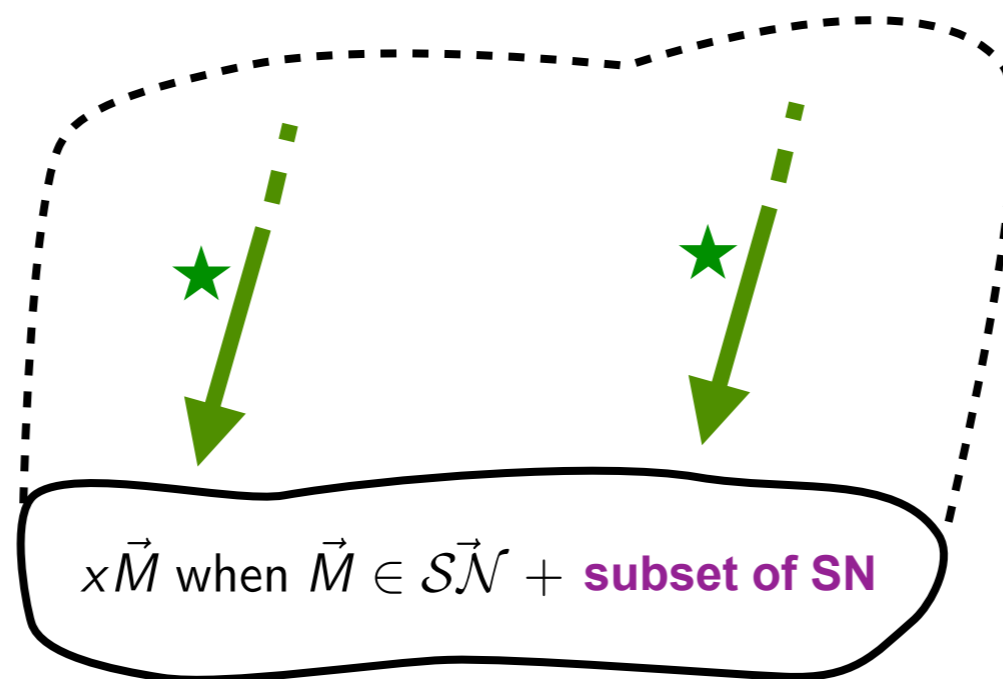
(1) $x\vec{M} \in X$ iff $\vec{M} \in \mathcal{SN}$

(2) $M\{x := N\}\vec{P} \in X$ implies $(\lambda x.M)N\vec{P} \in X$ when $N \in \mathcal{SN}$

(1) = non emptiness

(2) = closed by SN-head-beta-expansion

A saturated set



Proofs

① Obvious.

② $M = M_1 M_2, M_1: \tau_1 \rightarrow \tau_2, M_2: \tau_1 \dots \Rightarrow M_1^* \in \llbracket \tau_1 \rightarrow \tau_2 \rrbracket_\Sigma, M_2^* \in \llbracket \tau_1 \rrbracket_\Sigma$
 \Rightarrow obvious.

③ $M = \lambda z. M_2: \tau_1 \rightarrow \tau_2$ et $z: \tau_1 \vdash M_2: \tau_2$
 Pour th $M_1 \in \llbracket \tau_1 \rrbracket_\Sigma \stackrel{\text{ind}}{\Rightarrow} M_2 \{x := M_1, x_i := N_i\} \in \llbracket \tau_2 \rrbracket_\Sigma$
 $\Rightarrow_{Ax2} (\lambda z. M_2 \{x_i := N_i\}) M_1 \in \llbracket \tau_1 \rrbracket_\Sigma \stackrel{\text{def}}{\Rightarrow} \lambda z. M_2 \{x_i := N_i\} \in \llbracket \tau_1 \rrbracket_\Sigma \rightarrow \llbracket \tau_2 \rrbracket_\Sigma = \llbracket \tau_1 \rightarrow \tau_2 \rrbracket_\Sigma$

④ $M: \forall \alpha. \tau$ can $M: \tau \stackrel{\text{ind}}{\Rightarrow} M \{x_i := N_i\} \in \llbracket \tau \rrbracket_\Sigma$
part th 3. Donc $M \{x_i := N_i\} \in \bigcap_{X \in \text{SAT}} \llbracket \tau \rrbracket_{\Sigma \{ \alpha \mapsto X \}} = \llbracket \forall \alpha. \tau \rrbracket_\Sigma$

⑤ $x_i: \tau_i, N_i \in \llbracket \tau_i \rrbracket_\Sigma, M: \tau \{ \alpha := \sigma \}$.
 $\forall \Sigma: \text{TVars} \rightarrow \text{SAT}$
 Or $M: \forall \alpha. \tau \stackrel{\text{ind}}{\Rightarrow} M \{x_i := N_i\} \in \llbracket \forall \alpha. \tau \rrbracket_\Sigma = \bigcap_{X \in \text{SAT}} \llbracket \tau \rrbracket_{\Sigma \{ \alpha \mapsto X \}}$.
 Et $\llbracket \tau \{ \alpha := \sigma \} \rrbracket_\Sigma = \llbracket \tau \rrbracket_{\Sigma \{ \alpha \mapsto \llbracket \sigma \rrbracket_\Sigma \}}$ $\Rightarrow M \{x_i := N_i\}$
 can $\llbracket \sigma \rrbracket_\Sigma \in \text{SAT}$

Proofs

$$\llbracket \tau \{ \alpha := \sigma \} \rrbracket_Z = \llbracket \tau \rrbracket_Z \{ \alpha \mapsto \llbracket \sigma \rrbracket_Z \}$$

Poser $\tau^* = \tau \{ \alpha := \sigma \}$

① $\tau = \alpha \quad \tau^* = \sigma \quad \llbracket \tau^* \rrbracket_Z = \llbracket \sigma \rrbracket_Z$

② $\tau = \beta \quad \tau^* = \beta$

③ $\tau = \tau_1 \rightarrow \tau_2 \quad \llbracket \tau^* \rrbracket_Z = \llbracket \tau_1^* \rightarrow \tau_2^* \rrbracket_Z \stackrel{\text{ind}}{\equiv} \llbracket \tau_1^* \rrbracket_Z \rightarrow \llbracket \tau_2^* \rrbracket_Z$

④ $\tau = \forall \beta. \tau_1 \quad \llbracket \tau^* \rrbracket_Z = \llbracket \forall \beta. \tau_1^* \rrbracket_Z = \bigcap_{X \in \text{SAT}} \llbracket \tau_1^* \rrbracket_Z \{ \beta \mapsto X \}$

$\stackrel{\text{ind}}{=} \bigcap_{X \in \text{SAT}} \llbracket \tau_1 \rrbracket_Z \{ \beta \mapsto X \} \{ \alpha \mapsto \llbracket \sigma \rrbracket_Z \}$

or $\beta \in \text{FV}(\sigma)$

$$\llbracket \tau^* \rrbracket_Z = \bigcap_{X \in \text{SAT}} \llbracket \tau_1 \rrbracket_Z \{ \alpha \mapsto \llbracket \sigma \rrbracket_Z \} \{ \beta \mapsto X \}$$

$$= \llbracket \forall \alpha. \tau_1 \rrbracket_Z \{ \alpha \mapsto \llbracket \sigma \rrbracket_Z \}$$

$$\mathcal{N}_0 \subset \mathcal{L}\mathcal{N} \rightarrow \mathcal{N}_0 \subset \mathcal{N}_0 \rightarrow \mathcal{L}\mathcal{N} \subset \mathcal{L}\mathcal{N}$$

① $\vec{M} \in \mathcal{Y}\mathcal{N}, P \in \mathcal{Y}\mathcal{N} \Rightarrow \exists \vec{M} P \in \mathcal{N}_0$

② $\mathcal{N}_0 \subset \mathcal{Y}\mathcal{N}$

③ $M \in \mathcal{N}_0 \rightarrow \mathcal{L}\mathcal{N} \Rightarrow \exists M \alpha \in \mathcal{Y}\mathcal{N} \text{ can } \alpha \in \mathcal{N}_0$

$\mathcal{L}\mathcal{N} \in \text{SAT}$

① $\mathcal{N}_0 \subset \mathcal{L}\mathcal{N}$

② $M \{ \alpha := N \} \vec{P} \in \mathcal{Y}\mathcal{N} \text{ can } N \in \mathcal{L}\mathcal{N} \Rightarrow (\lambda \alpha. M) N \vec{P} \in \mathcal{L}\mathcal{N}$

$$X, Y \in \text{SAT} \Rightarrow X \rightarrow Y \in \text{SAT}$$

① $\vec{M} \in \mathcal{Y}\mathcal{N}, P \in X \Rightarrow \exists \vec{M} P \in Y \text{ can } P \in X \subset \mathcal{Y}\mathcal{N}$

② $N \in \mathcal{Y}\mathcal{N}, M \{ \alpha := N \} \vec{P} \in X \rightarrow Y$

$Q \in X \stackrel{\text{ind}}{\Rightarrow} M \{ \alpha := N \} \vec{P} Q \in Y \stackrel{\text{Ax2}}{\Rightarrow} (\lambda \alpha. M) N \vec{P} Q \in Y$

Par def $(\lambda \alpha. M) N \vec{P} \in X \rightarrow Y$

$$X_i \in \text{SAT} \Rightarrow \bigcap_i X_i \in \text{SAT}$$

obvious

Girard - Tait - Krivine proof

Let $\mathcal{N}_0 = \{x\vec{M} \mid \vec{M} \in \mathcal{SN}\}$

and $X \rightarrow Y = \{M \mid N \in X \Rightarrow MN \in Y\}$

- **Fact 1** $\mathcal{N}_0 \subset \mathcal{SN} \rightarrow \mathcal{N}_0 \subset \mathcal{N}_0 \rightarrow \mathcal{SN} \subset \mathcal{SN}$
- **Fact 2** $\mathcal{SN} \in \text{SAT}$
- **Lemma 1** $X, Y \in \text{SAT}$ implies $X \rightarrow Y \in \text{SAT}$
- **Lemma 2** $X_i \in \text{SAT}$ implies $\bigcap_{i \in I} X_i \in \text{SAT}$

Girard - Tait - Krivine proof

- **Semantics of types** Let $\zeta \in \text{TVar} \rightarrow \text{SAT}$. Then $\llbracket \tau \rrbracket_\zeta$ is

$$\llbracket \alpha \rrbracket_\zeta = \zeta(\alpha)$$

$$\llbracket \sigma \rightarrow \tau \rrbracket_\zeta = \llbracket \sigma \rrbracket_\zeta \rightarrow \llbracket \tau \rrbracket_\zeta \quad \llbracket \forall \alpha. \tau \rrbracket_\zeta = \bigcap_{x \in \text{SAT}} \llbracket \tau \rrbracket_{\zeta\{\alpha \mapsto x\}}$$

- **Corollary (1-2)** $\llbracket \tau \rrbracket_\zeta \in \text{SAT}$

- **Lemma 3 (subst)** $\llbracket \tau\{\alpha := \sigma\} \rrbracket_\zeta = \llbracket \tau \rrbracket_{\zeta\{\alpha \mapsto \llbracket \sigma \rrbracket_\zeta\}}$

- **Lemma 4** Let $x_1:\tau_1, \dots, x_n:\tau_n \vdash M:\tau$ and $N_1 \in \llbracket \tau_1 \rrbracket_\zeta, \dots, N_n \in \llbracket \tau_n \rrbracket_\zeta$

Then $M\{x_1 := N_1, \dots, x_n := N_n\} \in \llbracket \tau \rrbracket_\zeta$

- **Corollary (4)** $\Gamma \vdash M:\tau$ implies $M \in \mathcal{SN}$

Girard - ... - Barendregt' style proof

- **Semantics of terms** Let $\rho \in \text{Var} \rightarrow \Lambda$. Then

$$\llbracket M \rrbracket_\rho = M\{x_1 := \rho(x_1), \dots, x_n := \rho(x_n)\}$$

$$\rho, \zeta \models M:\tau \text{ iff } \llbracket M \rrbracket_\rho \in \llbracket \tau \rrbracket_\zeta$$

$$\rho, \zeta \models \Gamma \text{ iff } \rho, \zeta \models x:\tau \text{ for any } (x:\tau) \in \Gamma$$

$$\Gamma \models M:\tau \text{ iff } \forall \rho, \zeta \quad \rho, \zeta \models \Gamma \Rightarrow \rho, \zeta \models M:\tau$$

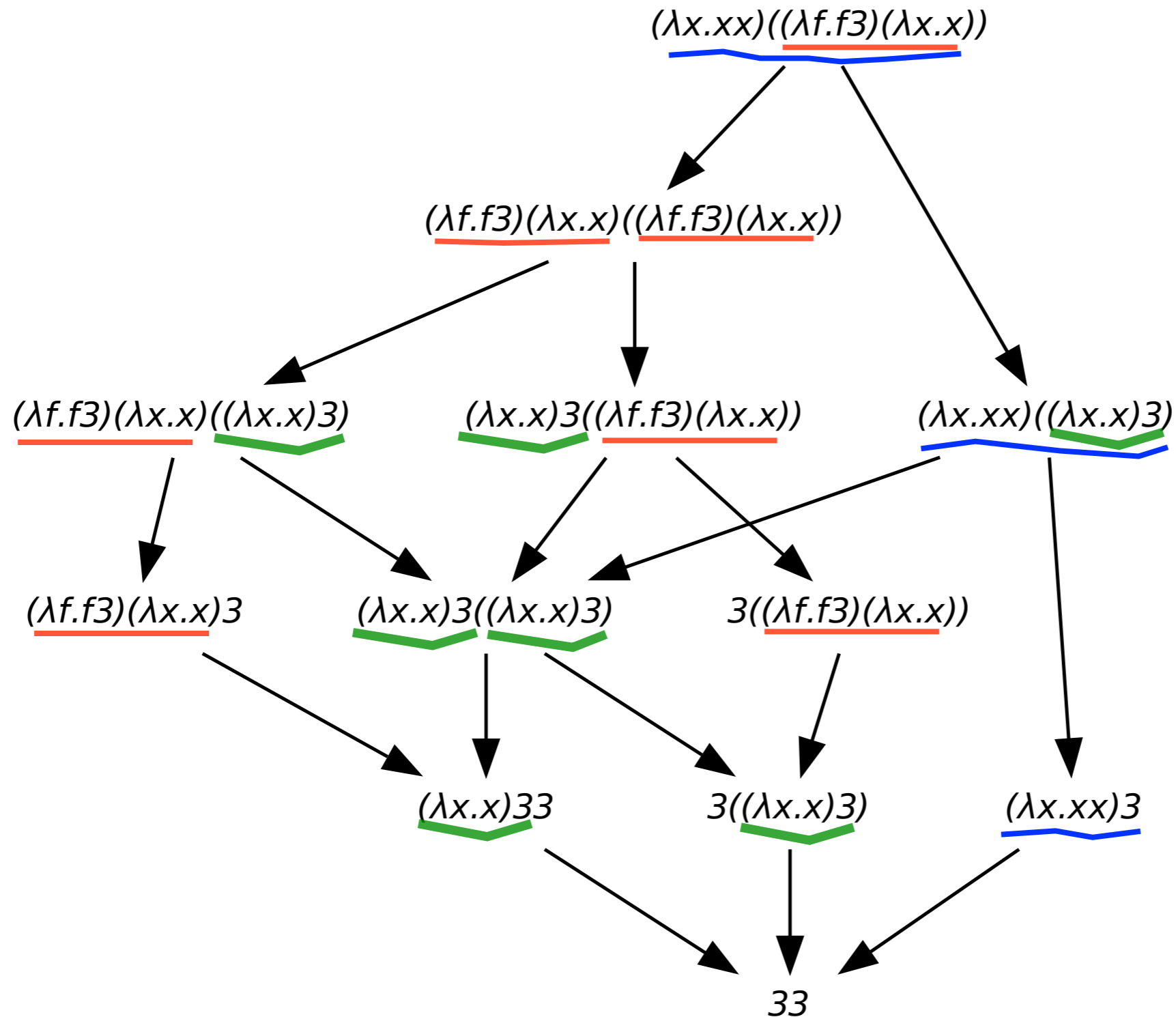
- **Lemma 3 (subst)** $\llbracket \tau\{\alpha := \sigma\} \rrbracket_\zeta = \llbracket \tau \rrbracket_{\zeta\{\alpha \mapsto \llbracket \sigma \rrbracket_\zeta\}}$

- **Lemma 4** $\Gamma \vdash M:\tau$ implies $\Gamma \models M:\tau$

- **Corollary** $\Gamma \vdash M:\tau$ implies $M \in \mathcal{SN}$

Back to syntax

Redex families



- 3 redex families: **red**, **blue**, **green**.

Tracking redexes in untyped calculus

$$M, N, \dots ::= x \mid MN \mid \lambda x . M \mid M^\alpha$$

$$(\lambda x . M)^\alpha N \longrightarrow M^{\lceil \alpha \rceil} \{x := N^{\lfloor \alpha \rfloor}\}$$

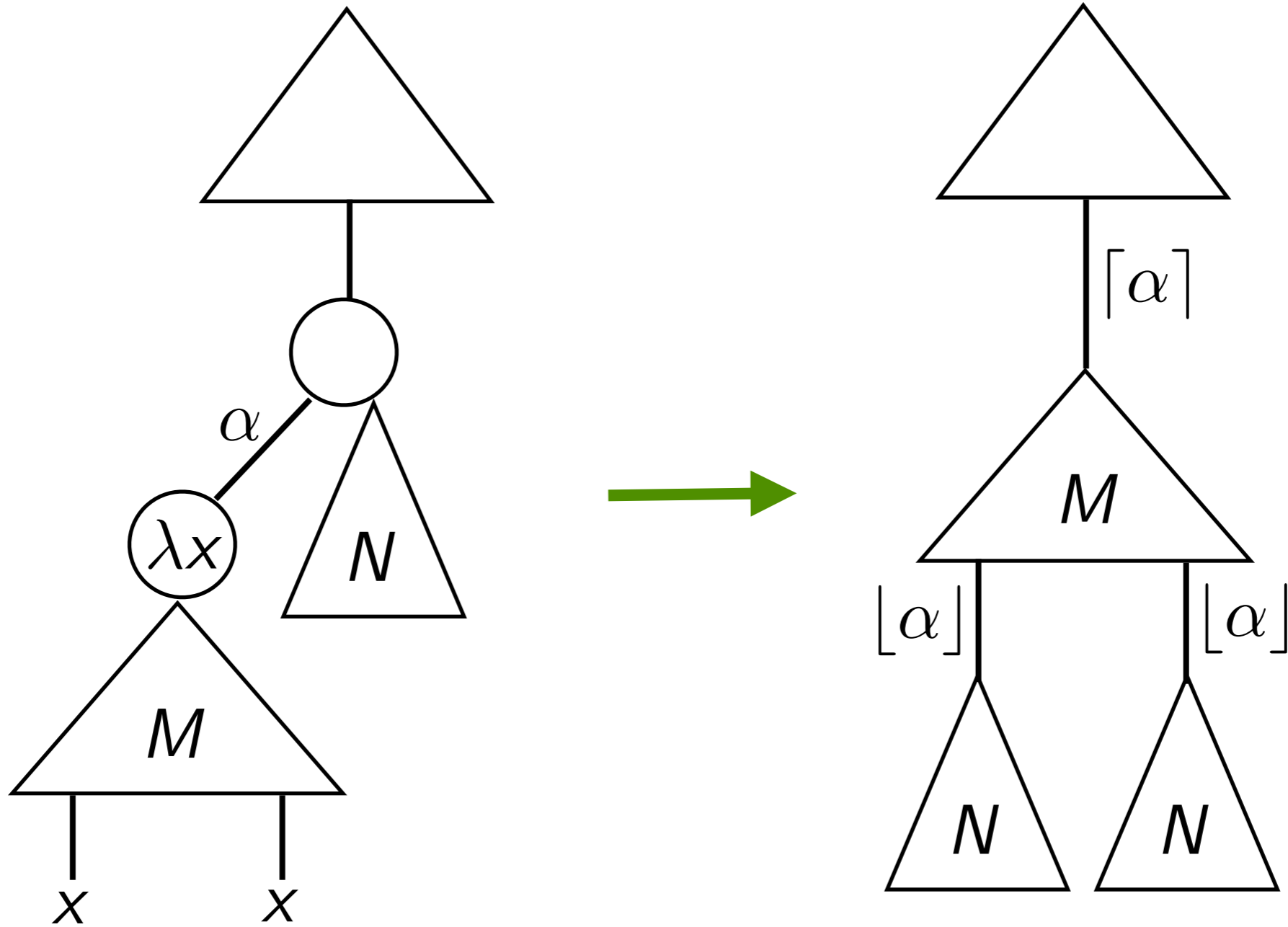
where

$$(M^\alpha)^\beta = M^{\alpha\beta} \quad \text{and} \quad M^\alpha \{x := N\} = (M \{x := N\})^\alpha$$

The 2 theorems

- Confluence (consistent names of redexes)
- Created redexes contain names of creators

Graphically

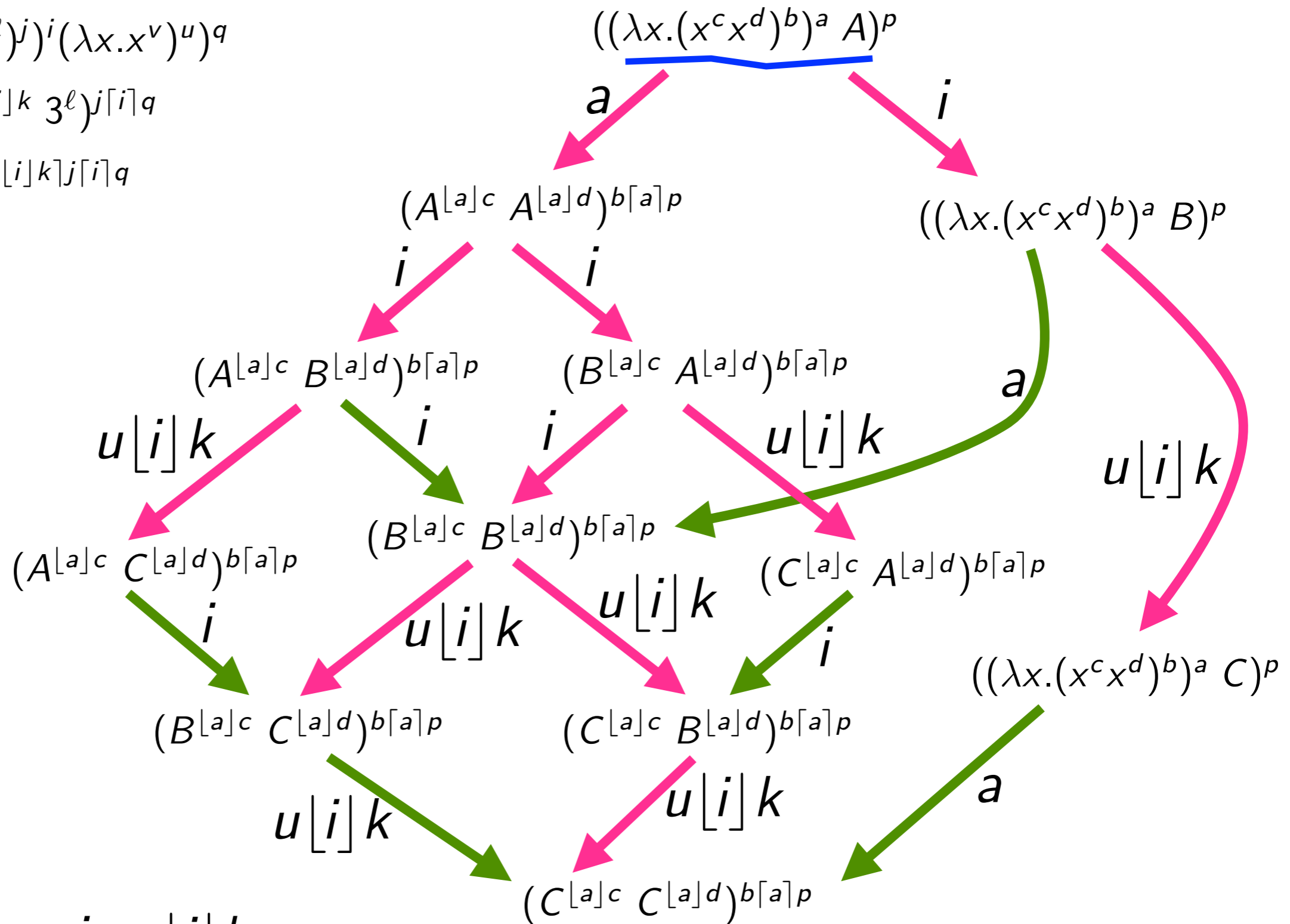


Redex families

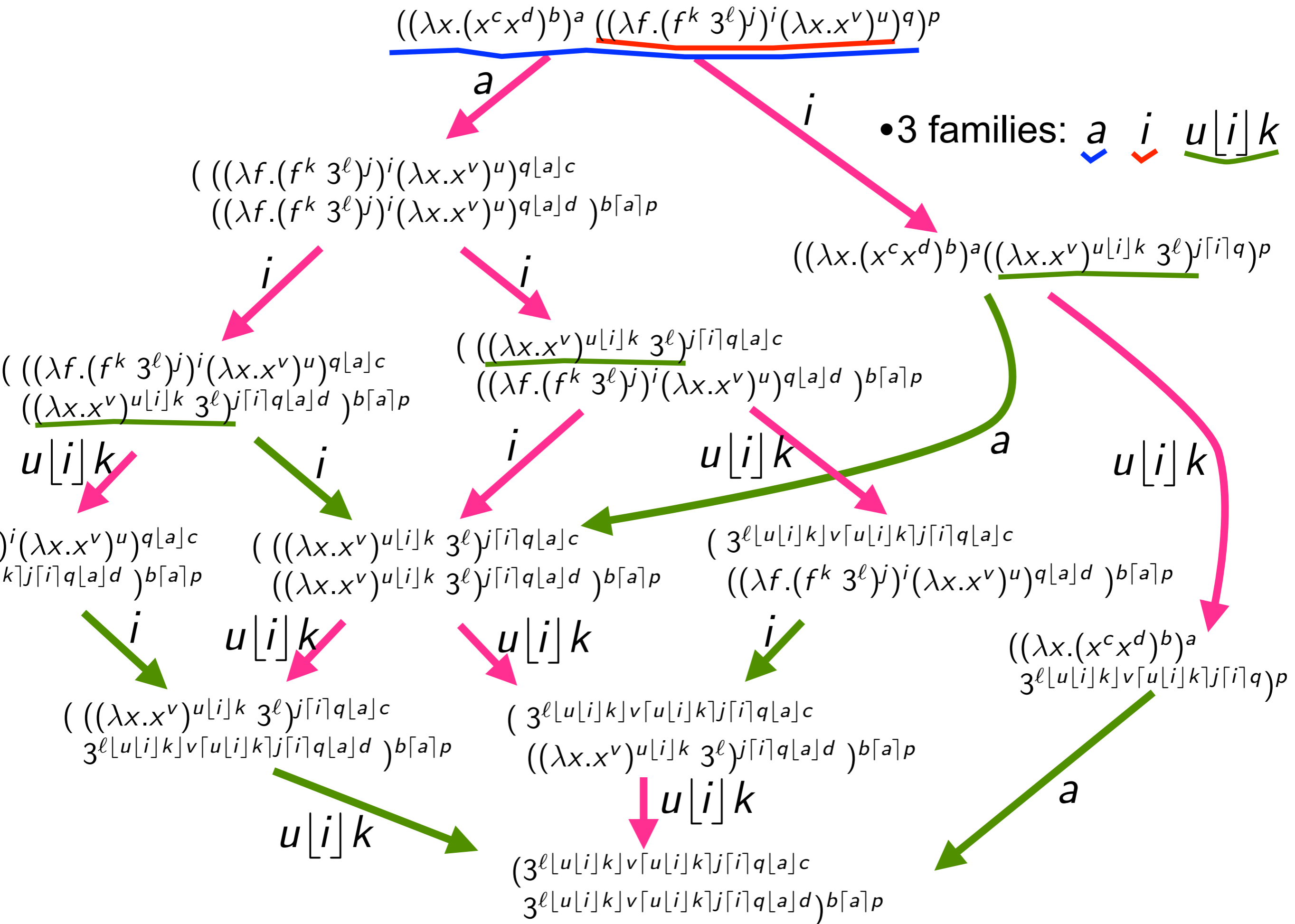
$$A = ((\lambda f.(f^k 3^\ell)^j)^i(\lambda x.x^v)^u)^q$$

$$B = ((\lambda x.x^v)^{u[i]k} 3^\ell)^{j[i]q}$$

$$C = 3^\ell[u[i]k]v[u[i]k]j[i]q$$



- 3 families: \underline{a} \underline{i} $\underline{u[i]k}$



Finite and infinite reductions (1/3)

- **Definition** A **reduction relative** to a set \mathcal{F} of redex families is any reduction contracting redexes in families of \mathcal{F} .

A **development** of \mathcal{F} is any maximal relative reduction.

- **Theorem** [**Finite Developments++**, 76]

Let \mathcal{F} be a finite set of redex families.

- (1) there are no infinite reductions relative to \mathcal{F} ,
- (2) they all finish on same term N
- (3) All developments are equivalent by permutations.

Finite and infinite reductions (2/3)

- **Corollary** An **infinite reduction** contracts an **infinite set of redex families**.

- **Corollary** The first-order typed λ -calculus strongly terminates.

Proof In first-order typed λ -calculus:

- (1) residuals $R' = (\lambda x.M')N'$ of $R = (\lambda x.M)N$ keep the degree
- (2) new redexes have lower degree

Todo list

- Relate tracking of redexes to Girard's impredicative proof
- Find intuitive argument for SN in higher-order typed λ -calculus
- Find intuitive proof for SN in higher-order typed λ -calculus
[SN proof must always be in 3rd-order Peano logic]

An abstract graphic design featuring four overlapping circles. The top-left circle is yellow, the top-right is green, the bottom-left is red, and the bottom-right is blue. The circles are outlined with a thick, dark blue border. The text "The end" is centered in white over the red circle.

The end